

软件分析

南京大学

计算机科学与技术系

程序设计语言与

静态分析研究组

李棣 谭添

The background of the slide features a large, faint watermark of the Nanjing University logo. The logo is a shield-shaped emblem. At the top, it contains a circular design with a central spire and horizontal lines. Below this, the shield is divided into sections, with a prominent white pine tree in the center. The words "NANJING UNIVERSITY" are written in a circular path around the central elements of the shield.

Static Program Analysis

Intermediate Representation

Nanjing University

Yue Li

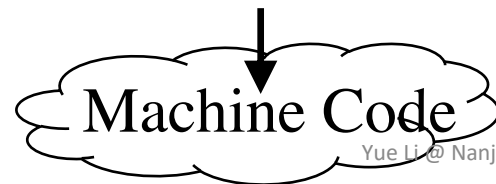
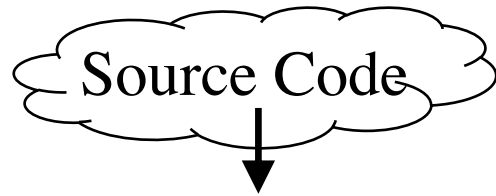
Fall 2022

The background of the slide features a faded, light-colored illustration of the main characters from the anime Haikyu!!. They are all wearing their team's black and orange tracksuits. Some characters are cheering with their hands raised, while others are looking forward with determination. The scene is set outdoors with a warm, sunset-like sky in shades of orange and red.

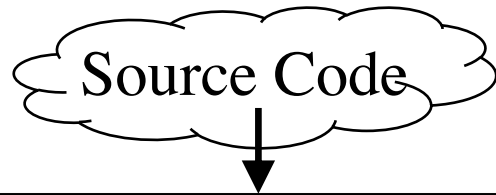
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1. Compilers and Static Analyzers
2. AST vs. IR
3. IR: Three-Address Code (3AC)
4. 3AC in Real Static Analyzer
5. Static Single Assignment (SSA)
6. Basic Blocks (BB)
7. Control Flow Graphs (CFG)

Compiler



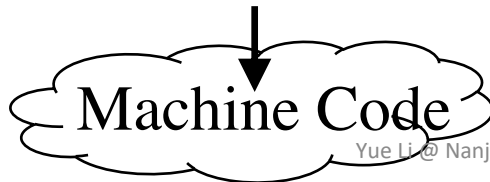
Compiler



Lexical Analysis

Regular
Expression

You 你 goouojd



Compiler

Source Code

Scanner

Tokens

Parser

AST

Machine Code

Lexical Analysis

You 3 goouojd

Syntax Analysis

Like your hair I

Regular
Expression

Context-Free
Grammar

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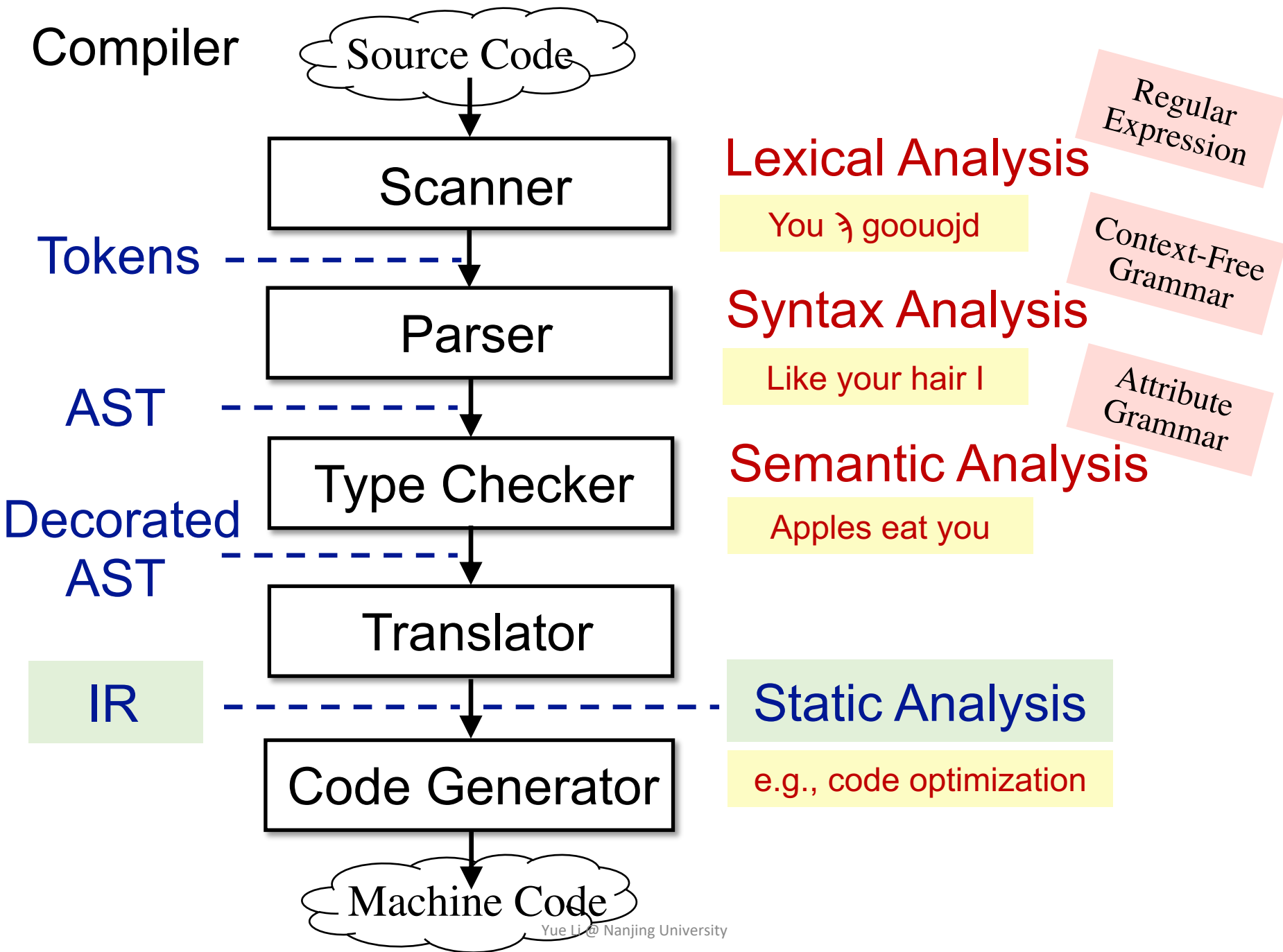
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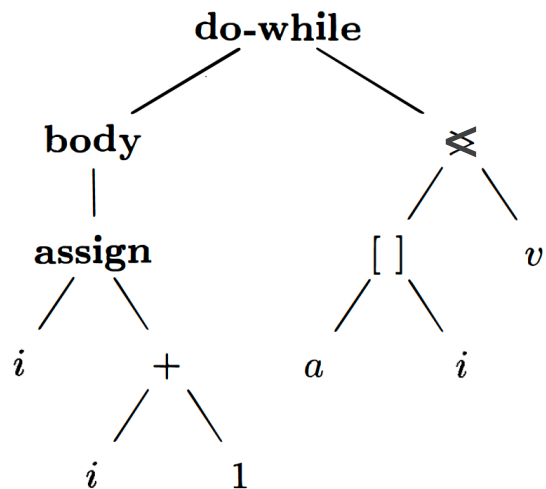
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AST vs. IR

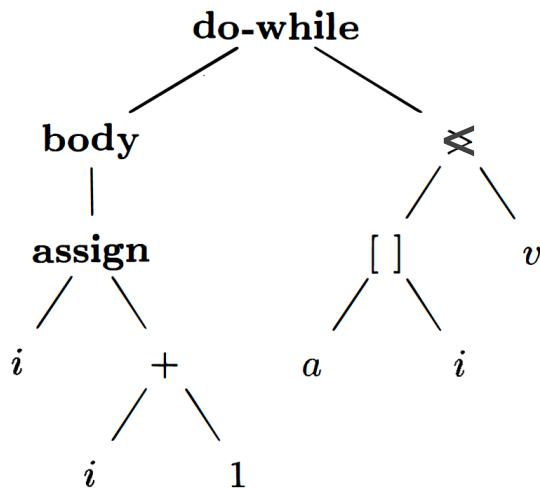
AST



`do i = i + 1; while (a[i] < v);`

AST vs. IR

AST



`"do i = i + 1; while (a[i] < v);"`

IR

```
1: i = i + 1
2: t1 = a [ i ]
3: if t1 < v goto 1
```

("3-address" form)

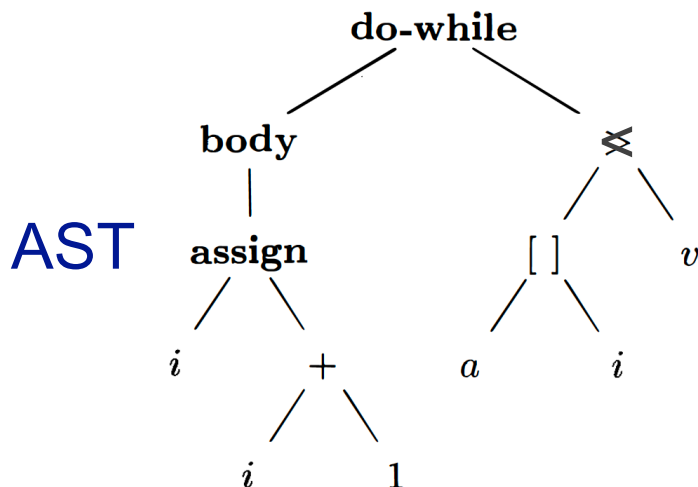
AST vs. IR

AST

- high-level and closed to grammar structure
- usually language dependent
- suitable for fast type checking
- lack of control flow information

IR

- low-level and closed to machine code
- usually language independent
- compact and uniform
- contains control flow information
- usually considered as the basis for static analysis



`"do i = i + 1; while (a[i] < v);"`

IR

```
1: i = i + 1
2: t1 = a [ i ]
3: if t1 < v goto 1
```

("3-address" form)

Intermediate Representation (IR)

- 3-Address Code (3AC)

There is at most one operator on the right side of an instruction.

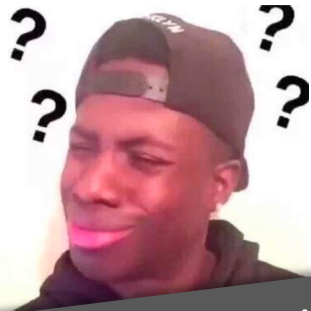
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Why called 3-address?

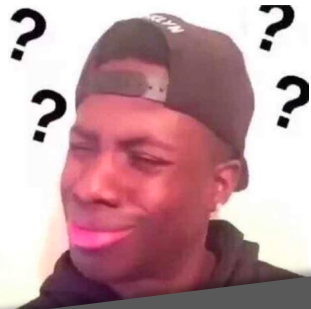
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Each 3AC contains at most 3 addresses



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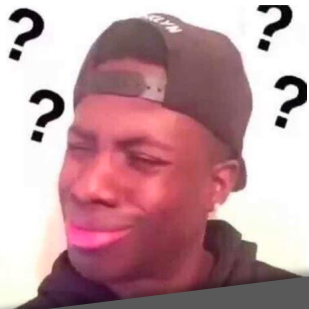
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Why called 3-address?

Address can be one of the following:

- Name: a, b, c
- Constant: 3
- Compiler-generated temporary: t1

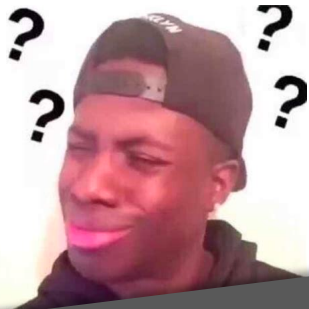
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Why called 3-address?

Address can be one of the following:

- Name: a, b, c
- Constant: 3
- Compiler-generated temporary: t1

Each type of instructions has its own 3AC form

Some Common 3AC Forms

- $x = y \text{ } \textit{bop} \text{ } z$
- $x = \textit{uop} \text{ } y$
- $x = y$
- $\text{goto } L$
- $\text{if } x \text{ goto } L$
- $\text{if } x \textit{ rop} \text{ } y \text{ goto } L$

x, y, z : addresses

\textit{bop} : binary arithmetic or logical operation

\textit{uop} : unary operation (minus, negation, casting)

L : a label to represent a program location

\textit{rop} : relational operator ($>$, $<$, $==$, $>=$, $<=$, etc.)

$\text{goto } L$: unconditional jump

$\text{if } \dots \text{ goto } L$: conditional jump

Some Common 3AC Forms

- $x = y \text{ } \textcolor{red}{bop} \text{ } z$
- $x = \textcolor{red}{uop} \text{ } y$
- $x = y$
- $\text{goto } \textcolor{red}{L}$
- $\text{if } x \text{ goto } \textcolor{red}{L}$
- $\text{if } x \textcolor{red}{rop} \text{ } y \text{ goto } \textcolor{red}{L}$

x, y, z : addresses

$\textcolor{red}{bop}$: binary arithmetic or logical operation

$\textcolor{red}{uop}$: unary operation (minus, negation, casting)

$\textcolor{red}{L}$: a label to represent a program location

$\textcolor{red}{rop}$: relational operator ($>$, $<$, $==$, $>=$, $<=$, etc.)

$\text{goto } L$: unconditional jump

$\text{if } \dots \text{ goto } L$: conditional jump

Let's see some more real-world complicated forms

Soot and Its IR: Jimple

- Soot

Most popular static analysis framework for Java

<https://github.com/Sable/soot>

<https://github.com/Sable/soot/wiki/Tutorials>

Soot's IR is Jimple: typed 3-address code

```
package nju.sa.examples;  
public class DoWhileLoop3AC {  
    public static void main(String[] args) {  
        int[] arr = new int[10];  
        int i = 0;  
        do {  
            i = i + 1;  
        } while (arr[i] < 10);  
    }  
}
```

Java Src

Do-While Loop

```

package nju.sa.examples;
public class DoWhileLoop3AC {
    public static void main(String[] args) {
        int[] arr = new int[10];
        int i = 0;
        do {
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        } while (arr[i] < 10);
    }
}

```

Java Src

Do-While Loop

```

public static void main(java.lang.String[])
{
    java.lang.String[] r0;
    int[] r1;
    int $i0, i1;

    r0 := @parameter0: java.lang.String[];

    r1 = newarray (int)[10];

    i1 = 0;

label1:
    i1 = i1 + 1;

    $i0 = r1[i1];

    if $i0 < 10 goto label1;

    return;
}

```

3AC(jimple)

```
package nju.sa.examples;  
public class MethodCall3AC {  
  
    String foo(String para1, String para2) {  
        return para1 + " " + para2;  
    }  
  
    public static void main(String[] args) {  
        MethodCall3AC mc = new MethodCall3AC();  
        String result = mc.foo("hello", "world");  
    }  
}
```

Java Src



```
java.lang.String foo(java.lang.String, java.lang.String
```

```
{  
    nju.sa.examples.MethodCall3AC r0;  
    java.lang.String r1, r2, $r7;  
    java.lang.StringBuilder $r3, $r4, $r5, $r6;
```

```
    r0 := @this: nju.sa.examples.MethodCall3AC;
```

```
    r1 := @parameter0: java.lang.String;
```

```
    r2 := @parameter1: java.lang.String;
```

```
    $r3 = new java.lang.StringBuilder;
```

```
    specialinvoke $r3.<java.lang.StringBuilder: void <init>()>();
```

```
    $r4 = virtualinvoke $r3.<java.lang.StringBuilder: java.lang.StringBuilder append(java.lang.String)>(r1);
```

```
    $r5 = virtualinvoke $r4.<java.lang.StringBuilder: java.lang.StringBuilder append(java.lang.String)>(" ");
```

```
    $r6 = virtualinvoke $r5.<java.lang.StringBuilder: java.lang.StringBuilder append(java.lang.String)>(r2);
```

```
    $r7 = virtualinvoke $r6.<java.lang.StringBuilder: java.lang.String toString()>();
```

```
    return $r7;  
}
```

```
package nju.sa.examples;  
public class MethodCall3AC {
```

```
    String foo(String para1, String para2) {  
        return para1 + " " + para2;  
    }
```

```
    public static void main(String[] args) {  
        MethodCall3AC mc = new MethodCall3AC();  
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```
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Java Src



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```
    public static void main(String[] args) {
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    }
```

Java Src

```
public static void main(java.lang.String[])
```

```
{
```

```
    java.lang.String[] r0;
    nju.sa.examples.MethodCall3AC $r3;
```

```
    r0 := @parameter0: java.lang.String[];
```

```
    $r3 = new nju.sa.examples.MethodCall3AC;
```

```
    specialinvoke $r3.<nju.sa.examples.MethodCall3AC: void <init>()>();
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    virtualinvoke $r3.<nju.sa.examples.MethodCall3AC:
        java.lang.String foo(java.lang.String,java.lang.String)>("hello", "world");
```

```
    return;
```

```
}
```



Class

```
package nju.sa.examples;  
public class Class3AC {  
  
    public static final double pi = 3.14;  
    public static void main(String[] args) {  
  
    }  
}
```

Java Src

```

public class nju.sa.examples.Class3AC extends java.lang.Object
{
    public static final double pi;

    public void <init>()
    {
        nju.sa.examples.Class3AC r0;

        r0 := @this: nju.sa.examples.Class3AC;

        specialinvoke r0.<java.lang.Object: void <init>()>();

        return;
    }

    public static void main(java.lang.String[])
    {
        java.lang.String[] r0;

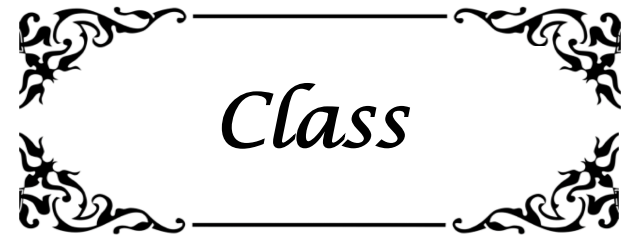
        r0 := @parameter0: java.lang.String[];

        return;
    }

    public static void <clinit>()
    {
        <nju.sa.examples.Class3AC: double pi> = 3.14;

        return;
    }
}

```



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public class Class3AC {

    public static final double pi = 3.14;
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    }

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```

Java Src

3AC (jimple)

Yue Li @ Nanjing University

Static Single Assignment (SSA)

Optional material

- All assignments in SSA are to variables with distinct names
 - Give each definition a fresh name
 - Propagate fresh name to subsequent uses
 - Every variable has exactly one definition

$p = a + b$

$q = p - c$

$p = q * d$

$p = e - p$

$q = p + q$

3AC

$p_1 = a + b$

$q_1 = p_1 - c$

$p_2 = q_1 * d$

$p_3 = e - p_2$

$q_2 = p_3 + q_1$

SSA

Static Single Assignment (SSA)

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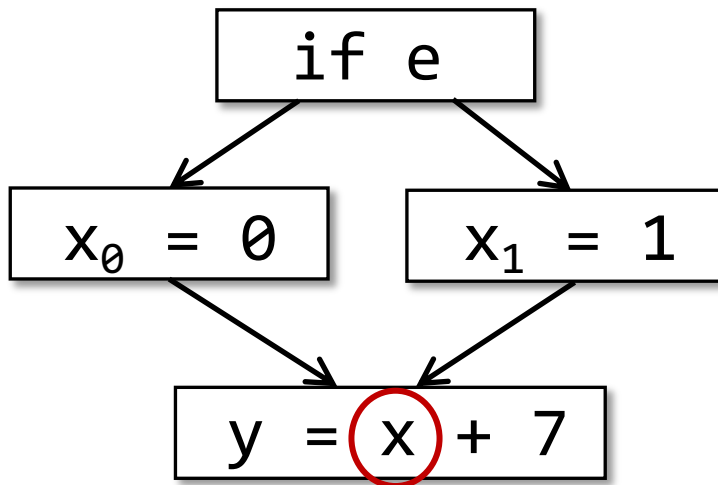
$q_2 = p_3 + q_1$

SSA



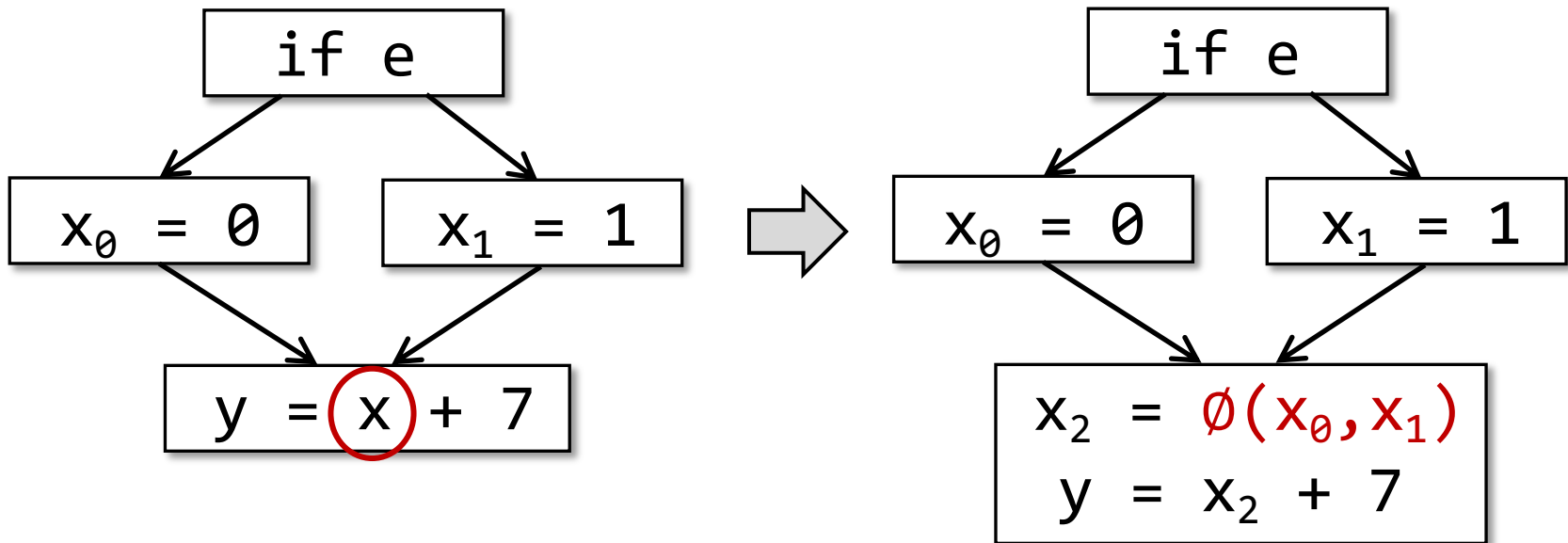
Static Single Assignment (SSA)

- What if a variable use is at control flow merges?



Static Single Assignment (SSA)

- What if a variable use is at control flow merges?



- A special merge operator, \emptyset (called phi-function), is introduced to select the values at merge nodes
- $\emptyset(x_0, x_1)$ has the value x_0 if the control flow passes through the true part of the conditional and the value x_1 otherwise

Why SSA?

Why not SSA?

Why SSA?

- Flow information is indirectly incorporated into the unique variable names

May help deliver some simpler analyses, e.g., flow-insensitive analysis gains partial precision of flow-sensitive analysis via SSA

- Define-and-Use pairs are explicit

Enable more effective data facts storage and propagation in some on-demand tasks

Some optimization tasks perform better on SSA (e.g., conditional constant propagation, global value numbering)

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Why not SSA?

- SSA may introduce too many variables and phi-functions
- May introduce inefficiency problem when translating to machine code (due to copy operations)

Control Flow Analysis

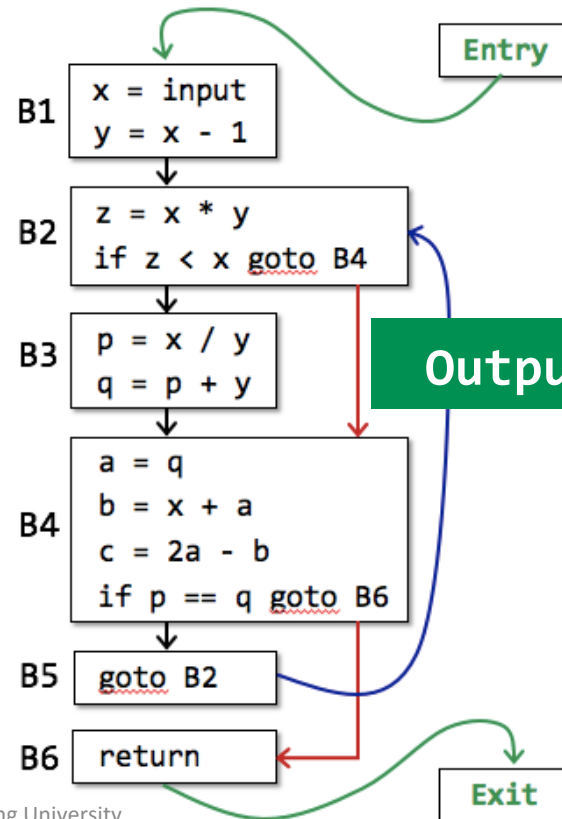
- Usually refer to building Control Flow Graph (CFG)

Control Flow Analysis

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Input: 3AC of P

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(6) q = p + y
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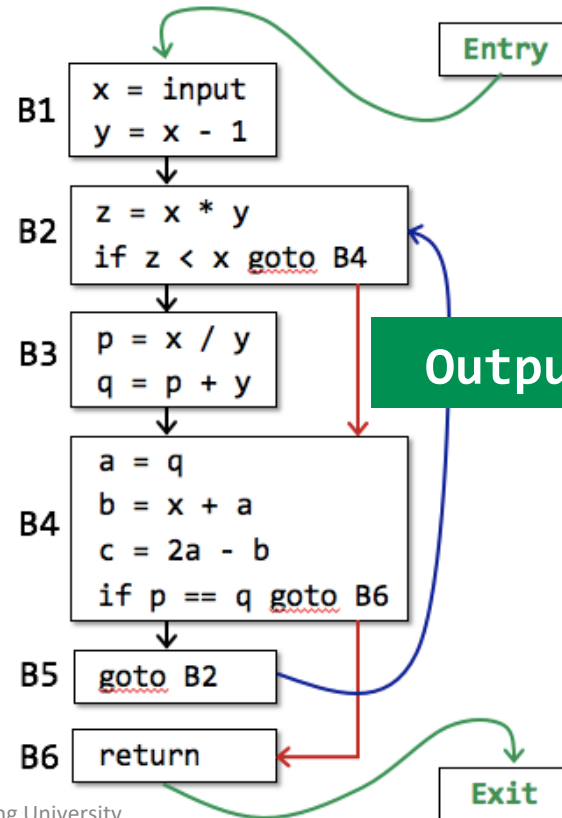
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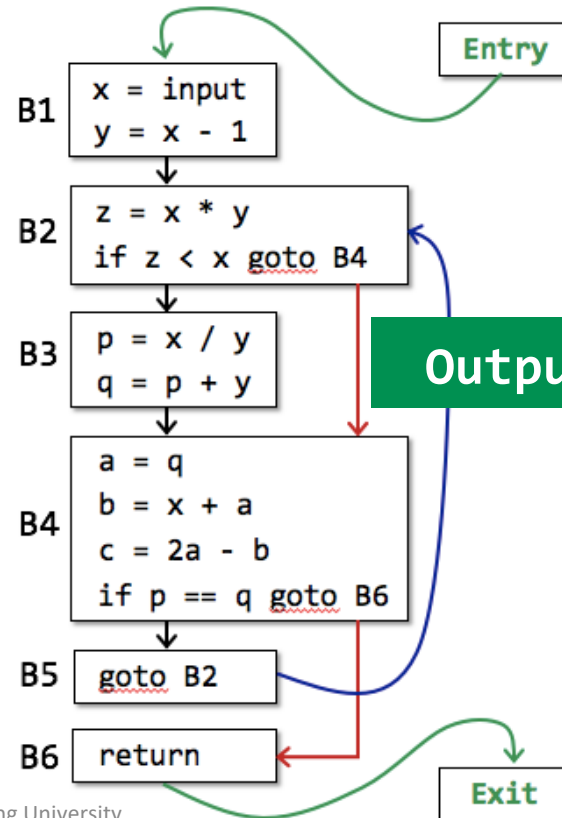
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- Usually refer to building Control Flow Graph (CFG)
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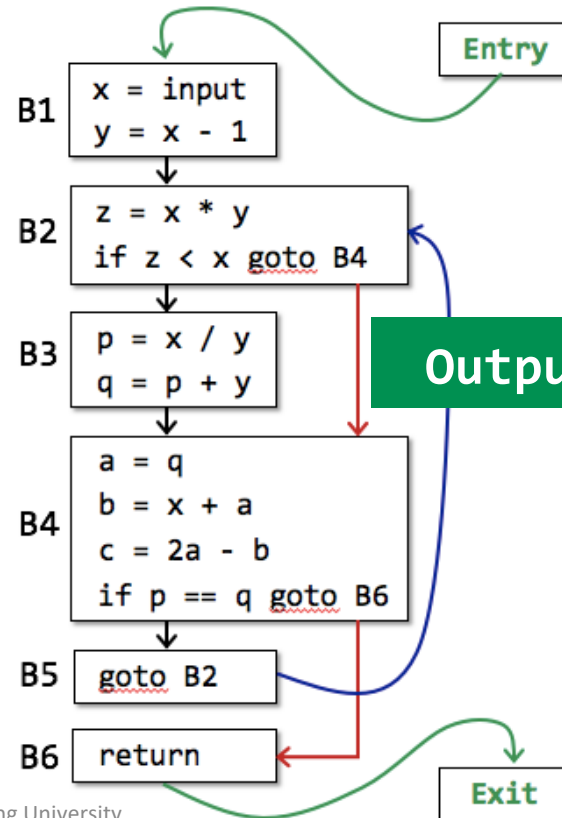
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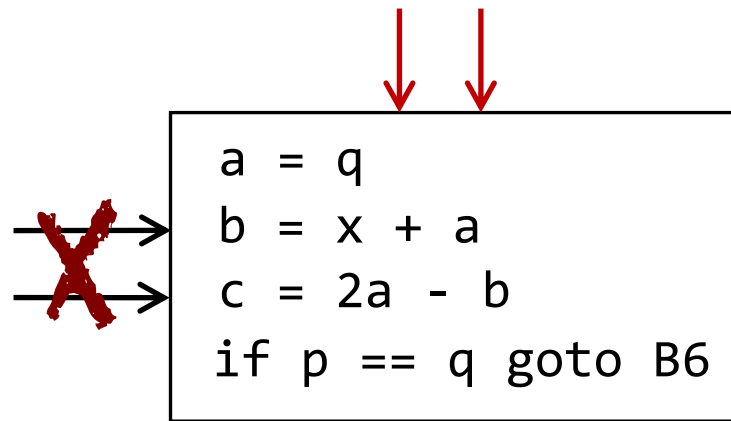
Basic Blocks (BB)

- Basic blocks (BB) are maximal sequences of consecutive three-address instructions with the properties that

```
a = q  
b = x + a  
c = 2a - b  
if p == q goto B6
```

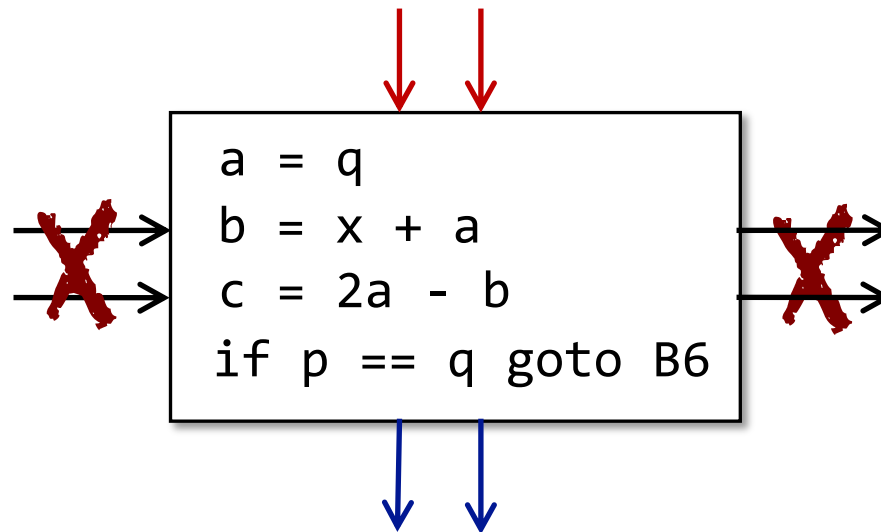
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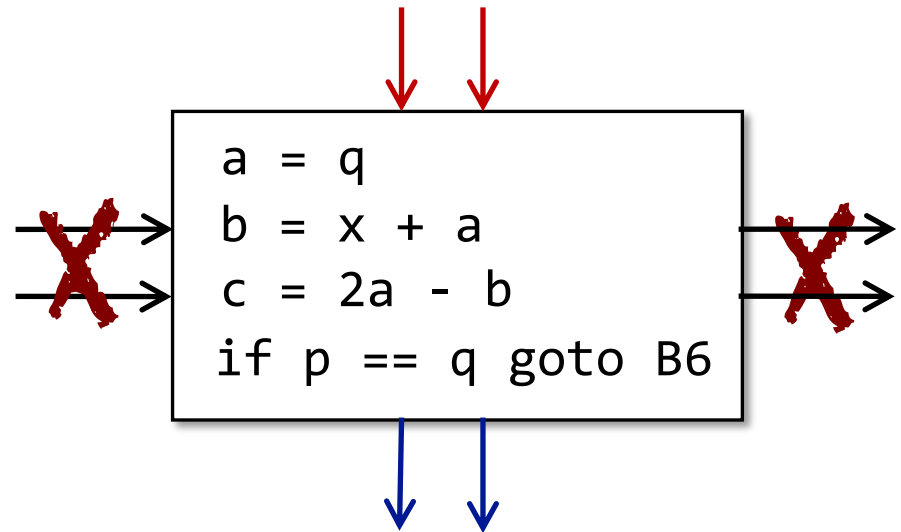
Basic Blocks (BB)

- Basic blocks (BB) are maximal sequences of consecutive three-address instructions with the properties that
 - It can be entered only at the beginning, i.e., *the first instruction in the block*
 - It can be exited only at the end, i.e., *the last instruction in the block*



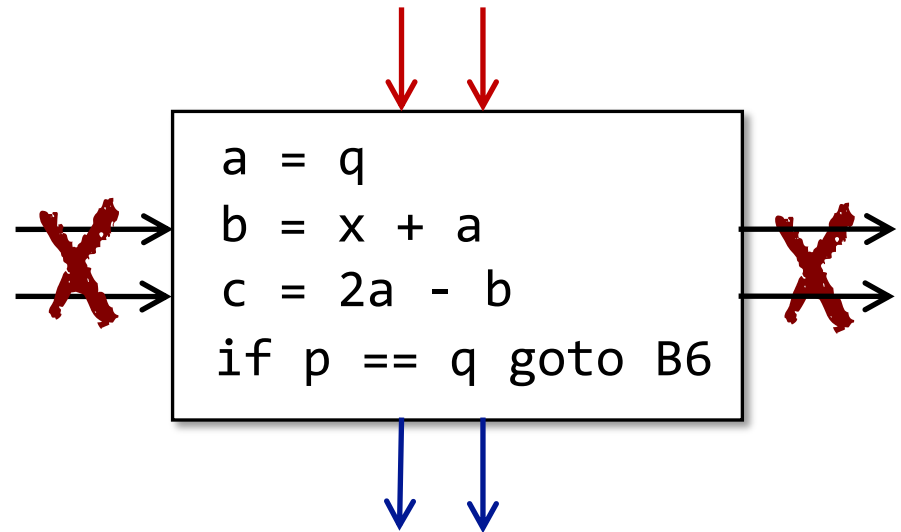
Now try to design the algorithm to build BBs by yourself!

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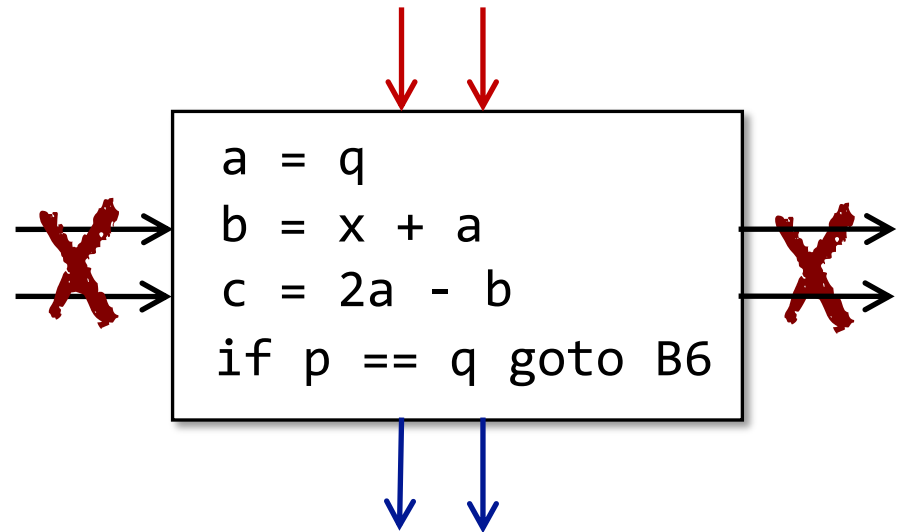
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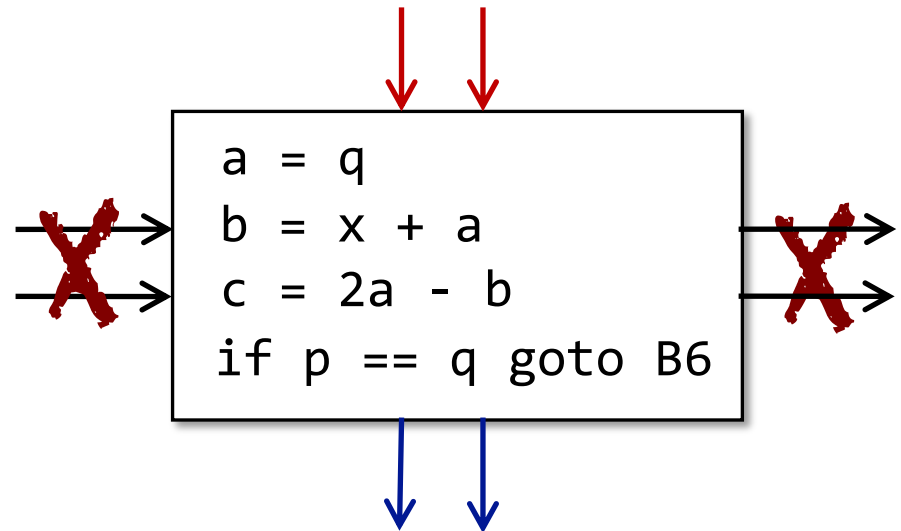
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Now try to design the algorithm to build BBs by yourself!

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(2) $y = x - 1$

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(5) $p = x / y$

(6) $q = p + y$

(7) $a = q$

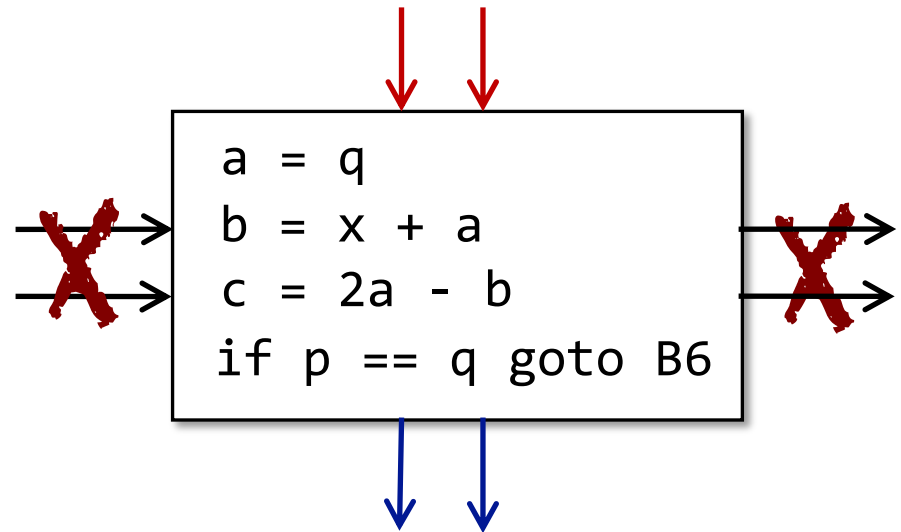
(8) $b = x + a$

(9) $c = 2a - b$

(10) if $p == q$ goto (12)

(11) goto (3)

(12) return



Now try to design the algorithm to build BBs by yourself!

(1) $x = \text{input}$

(2) $y = x - 1$

(3) $z = x * y$

(4) if $z < x$ goto (7)

(5) $p = x / y$

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(7) $a = q$

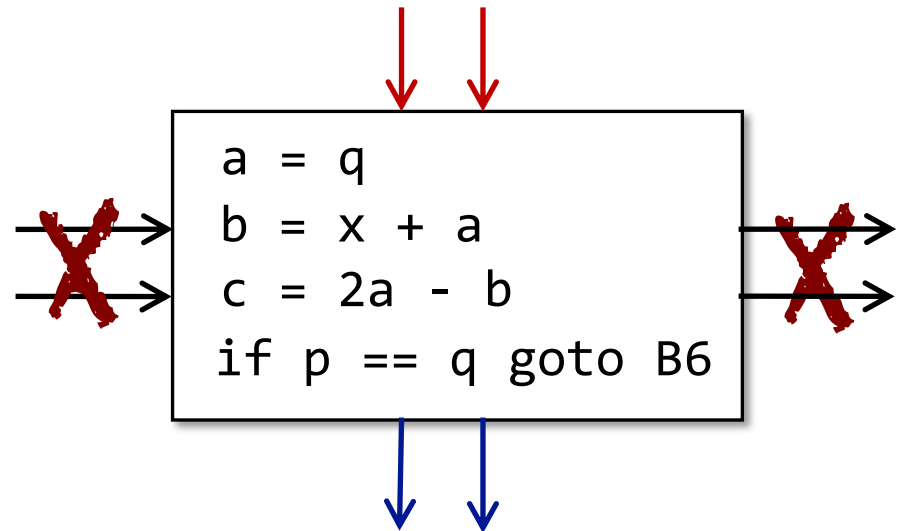
(8) $b = x + a$

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(10) if $p == q$ goto (12)

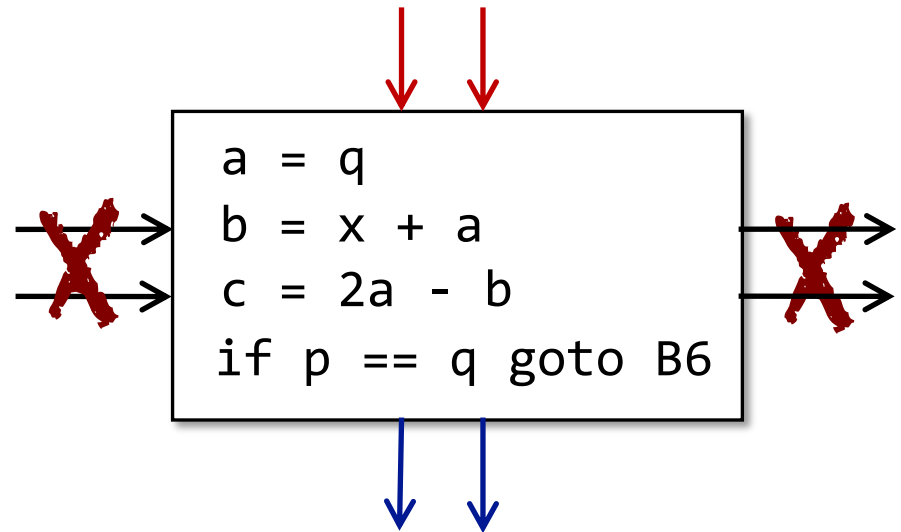
(11) goto (3)

(12) return



Now try to design the
algorithm to build BBs
by yourself!

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(2) y = x - 1
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(4) if z < x goto (7)
(5) p = x / y
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(7) a = q
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```



Now try to design the algorithm to build BBs by yourself!

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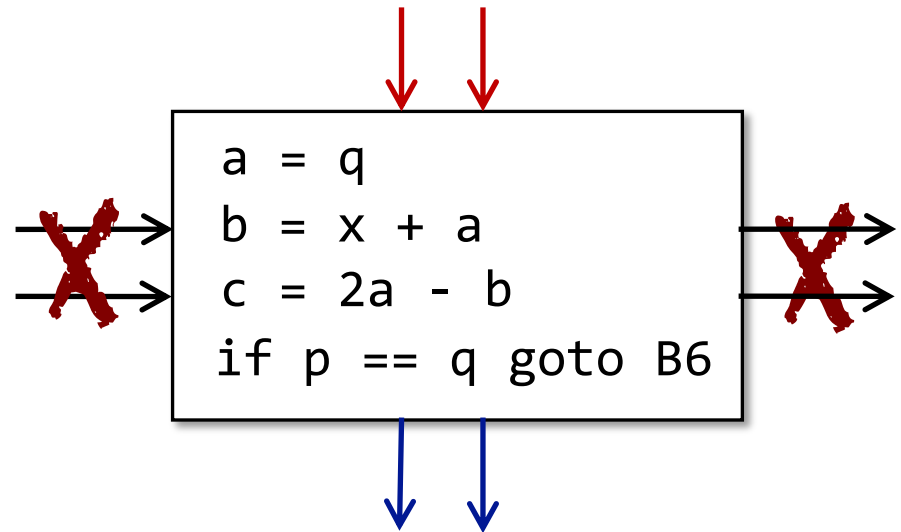
(8) $b = x + a$

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(11) goto (3)

(12) return



How to build Basic Blocks?

INPUT: A sequence of three-address instructions of P

OUTPUT: A list of basic blocks of P

METHOD: (1) Determine the leaders in P

- The first instruction in P is a leader
- Any target instruction of a conditional or unconditional jump is a leader
- Any instruction that immediately follows a conditional or unconditional jump is a leader

(2) Build BBs for P

- A BB consists of a leader and all its subsequent instructions until the next leader

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Input: 3AC of P

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
(5) p = x / y
(6) q = p + y
(7) a = q
(8) b = x + a
(9) c = 2a - b
(10) if p == q goto (12)
(11) goto (3)
(12) return
```

Output: BBs of P

Input: 3AC of P

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
(5) p = x / y
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(11) goto (3)
(12) return
```

Output: BBs of P

(1) Determine the leaders in P

Input: 3AC of P

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(1) x = input
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(3) z = x * y
(4) if z < x goto (7)
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(7) a = q
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(11) goto (3)
(12) return
```

Output: BBs of P

(1) Determine the leaders in P

- The first instruction in P is a leader

Input: 3AC of P

(1) $x = \text{input}$

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(3) $z = x * y$

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Output: BBs of P

(1) Determine the leaders in P

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Output: BBs of P

(1) Determine the leaders in P

- (1)

Input: 3AC of P

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(1) x = input
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```

Output: BBs of P

(1) Determine the leaders in P

- (1)
- Any target instruction of a conditional or unconditional jump is a leader

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(1) x = input
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```

Output: BBs of P

(1) Determine the leaders in P

- (1)
- Any target instruction of a conditional or unconditional jump is a leader

Input: 3AC of P

```
(1) x = input
(2) y = x - 1
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```

Output: BBs of P

(1) Determine the leaders in P

- (1)
- (3), (7), (12)

Input: 3AC of P

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
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(12) return
```

Output: BBs of P

(1) Determine the leaders in P

- (1)
- (3), (7), (12)

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(1) Determine the leaders in P

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- (3), (7), (12)
- (5), (11), (12)

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```

Output: BBs of P

(1) Determine the leaders in P

- (1)
- (3), (7), (12)
- (5), (11), (12)

Leaders: (1), (3),
(5), (7), (11), (12)

Input: 3AC of P

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(1) x = input
(2) y = x - 1
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(10) if p == q goto (12)
(11) goto (3)
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```

Output: BBs of P

(1) Determine the leaders in P

- (1)
- (3), (7), (12)
- (5), (11), (12)

Leaders: (1), (3),
(5), (7), (11), (12)

(2) Build BBs for P

- A BB consists of a leader and all its subsequent instructions until the next leader

Input: 3AC of P

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(1) x = input
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(8) b = x + a
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(11) goto (3)
(12) return
```

Output: BBs of P

(1) Determine the leaders in P

- (1)
- (3), (7), (12)
- (5), (11), (12)

Leaders: (1), (3),
(5), (7), (11), (12)

(2) Build BBs for P

- A BB consists of a leader and all its subsequent instructions until the next leader
- B1 {(1)}
- B2 {(3)}
- B3 {(5)}
- B4 {(7)}
- B5 {(11)}
- B6 {(12)}

Input: 3AC of P

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
(5) p = x / y
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(7) a = q
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(9) c = 2a - b
(10) if p == q goto (12)
(11) goto (3)
(12) return
```

Output: BBs of P

(1) Determine the leaders in P

- (1)
- (3), (7), (12)
- (5), (11), (12)

Leaders: (1), (3),
(5), (7), (11), (12)

(2) Build BBs for P

- A BB consists of a leader and all its subsequent instructions until the next leader
- B1 {(1), (2)}
- B2 {(3), (4)}
- B3 {(5), (6)}
- B4 {(7), (8), (9), (10)}
- B5 {(11)}
- B6 {(12)}

Input: 3AC of P

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
(5) p = x / y
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Output: BBs of P

- B1 {(1), (2)}
- B2 {(3), (4)}
- B3 {(5), (6)}
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- B5 {(11)}
- B6 {(12)}

Input: 3AC of P

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(1) x = input
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(9) c = 2a - b
(10) if p == q goto (12)
(11) goto (3)
(12) return
```

Output: BBs of P

B1

```
(1) x = input
(2) y = x - 1
```

B2

```
(3) z = x * y
(4) if z < x goto (7)
```

B3

```
(5) p = x / y
(6) q = p + y
```

B4

```
(7) a = q
(8) b = x + a
(9) c = 2a - b
(10) if p == q goto (12)
```

B5

```
(11) goto (3)
```

B6

```
(12) return
```

Input: 3AC of P

```
(1) x = input
(2) y = x - 1
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(7) a = q
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(9) c = 2a - b
(10) if p == q goto (12)
(11) goto (3)
(12) return
```

How to build CFG on
top of BBs?

Output: BBs of P

B1
(1) x = input
(2) y = x - 1

B2
(3) z = x * y
(4) if z < x goto (7)

B3
(5) p = x / y
(6) q = p + y

B4
(7) a = q
(8) b = x + a
(9) c = 2a - b
(10) if p == q goto (12)

B5
(11) goto (3)

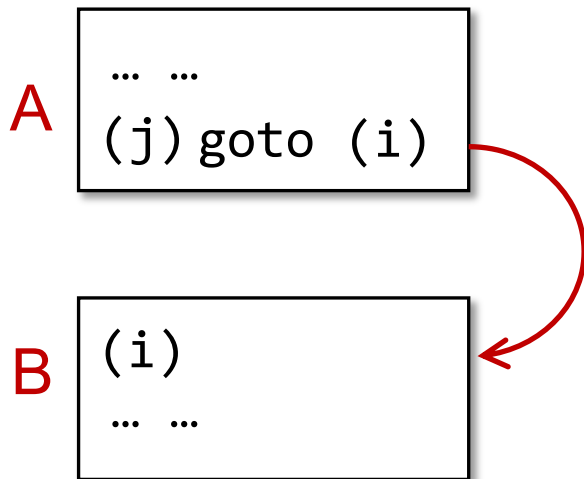
B6
(12) return

Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if

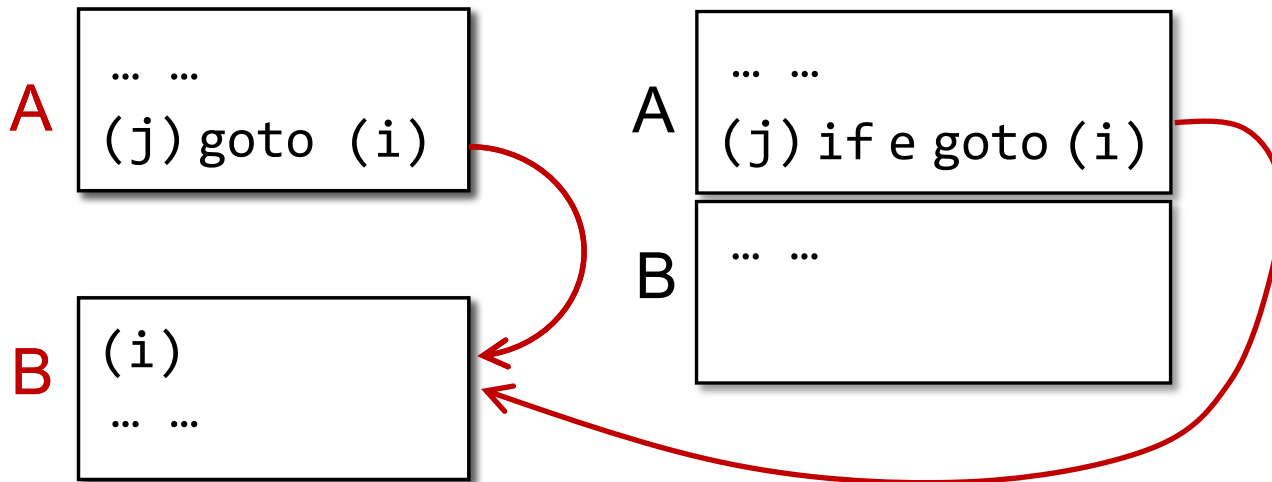
Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if



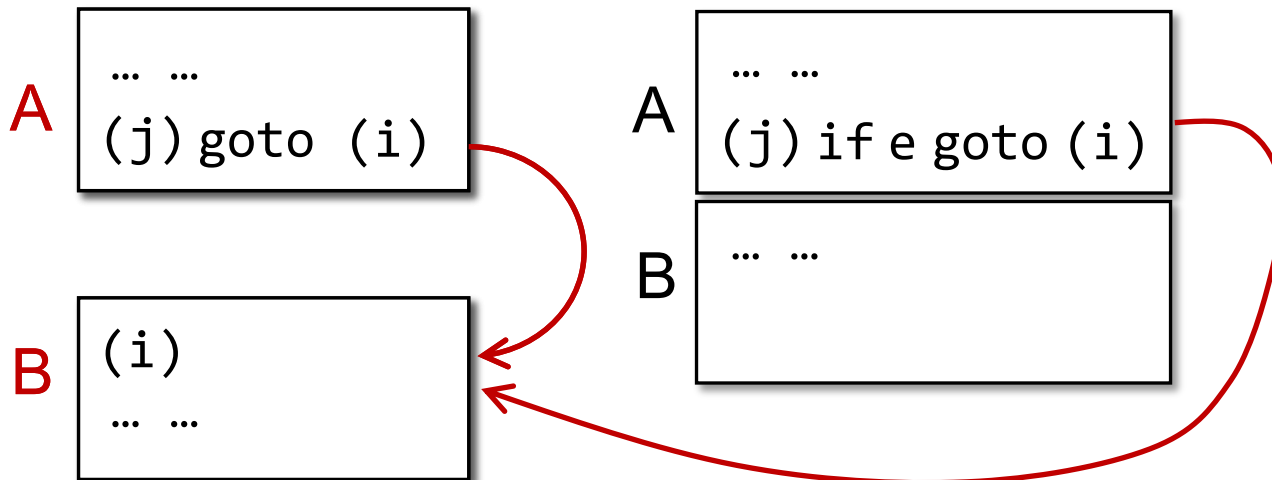
Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if



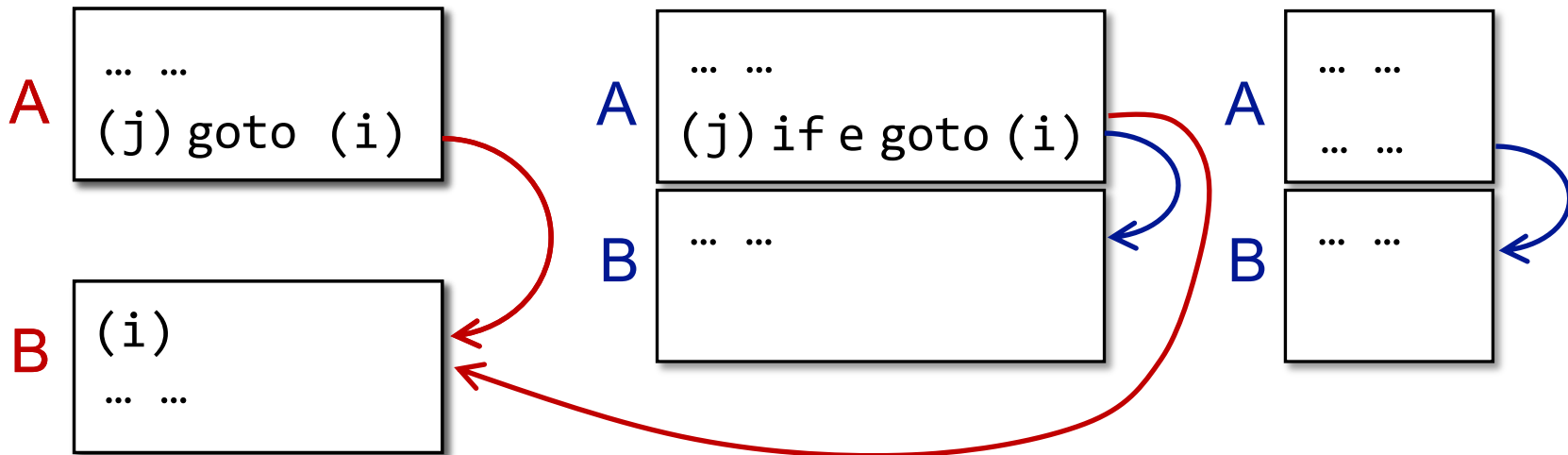
Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
 - There is a conditional or unconditional jump from the end of A to the beginning of B



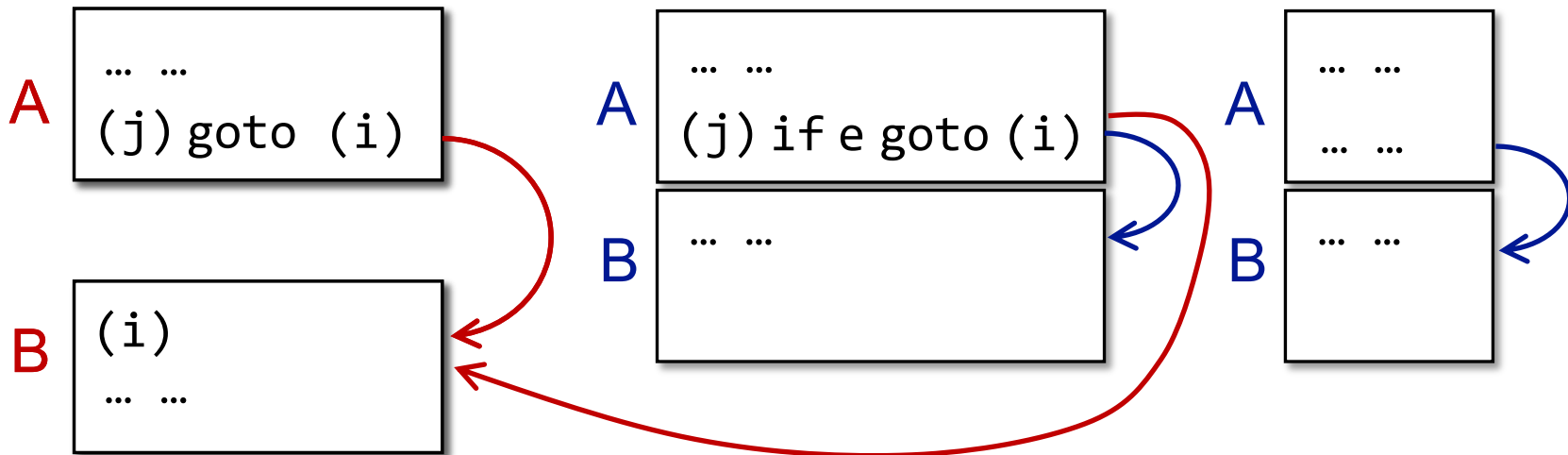
Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
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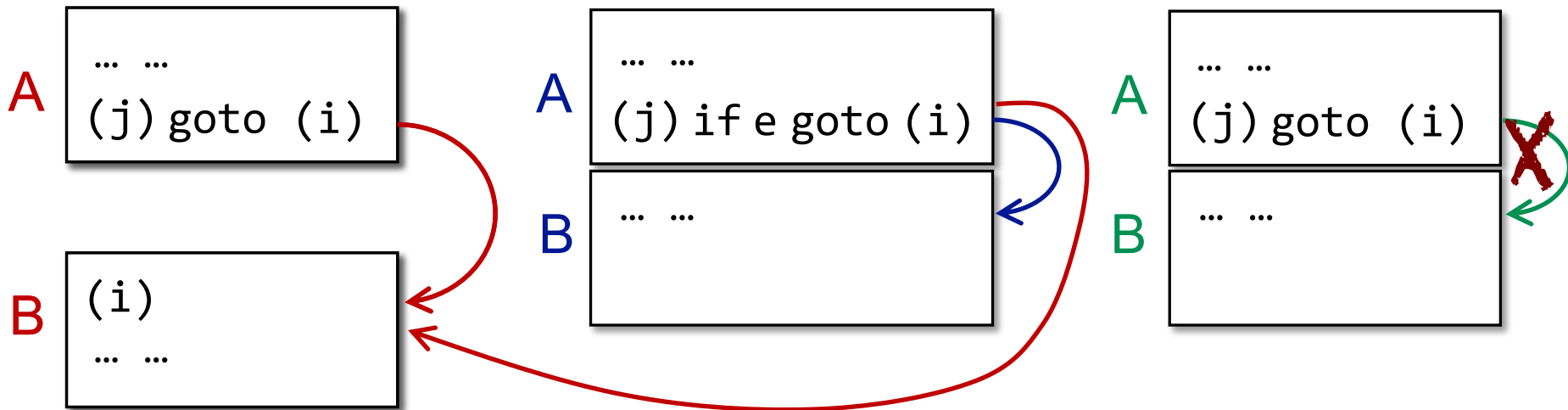
Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
 - There is a conditional or unconditional jump from the end of A to the beginning of B
 - B immediately follows A in the original order of instructions



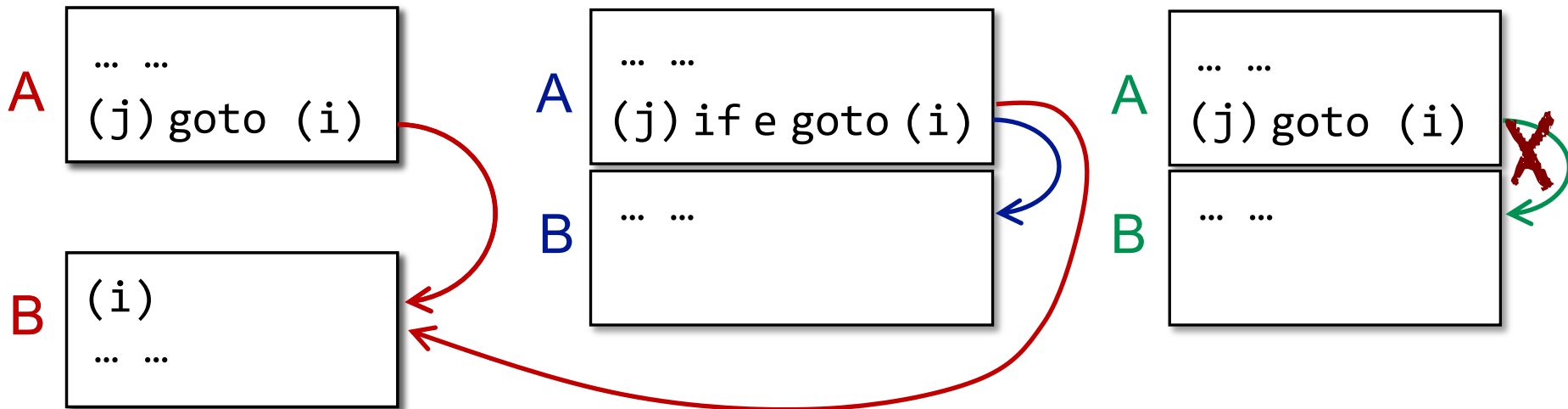
Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
 - There is a conditional or unconditional jump from the end of A to the beginning of B
 - B immediately follows A in the original order of instructions



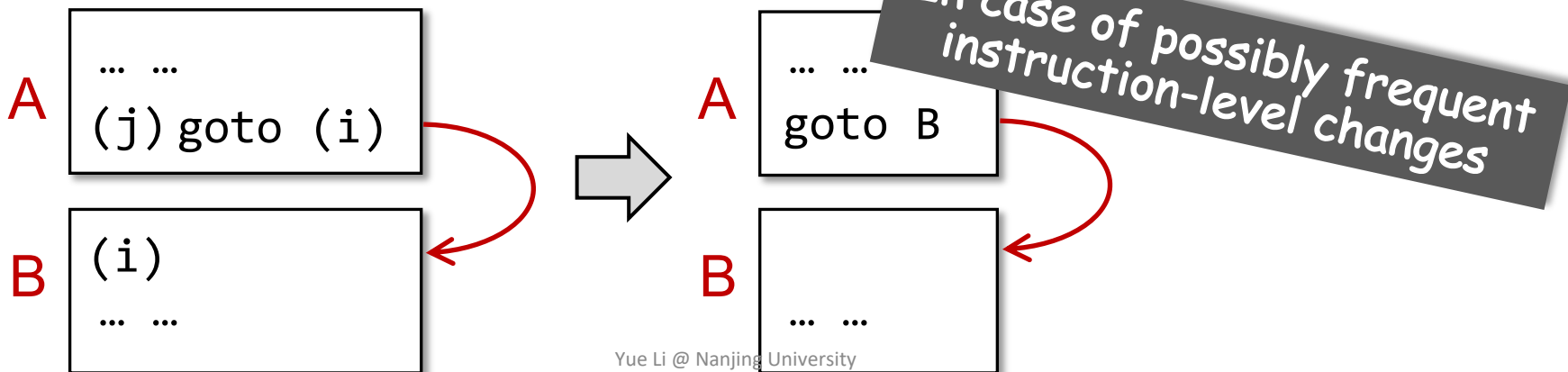
Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
 - There is a conditional or unconditional jump from the end of A to the beginning of B
 - B immediately follows A in the original order of instructions and A does not end in an unconditional jump



Control Flow Graph (CFG)

- The nodes of CFG are basic blocks
- There is an edge from block A to block B if and only if
 - There is a conditional or unconditional jump from the end of A to the beginning of B
 - B immediately follows A in the original order of instructions and A does not end in an unconditional jump
- It is normal to replace the jumps to instruction labels by jumps to basic blocks



B1 (1) $x = \text{input}$
(2) $y = x - 1$

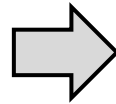
B2 (3) $z = x * y$
(4) if $z < x$ goto (7)

B3 (5) $p = x / y$
(6) $q = p + y$

B4 (7) $a = q$
(8) $b = x + a$
(9) $c = 2a - b$
(10) if $p == q$ goto (12)

B5 (11) goto (3)

B6 (12) return



B1 $x = \text{input}$
 $y = x - 1$

B2 $z = x * y$
if $z < x$ goto B4

B3 $p = x / y$
 $q = p + y$

B4 $a = q$
 $b = x + a$
 $c = 2a - b$
if $p == q$ goto B6

B5 goto B2

B6 return

Add edges in CFG

B1

```
x = input  
y = x - 1
```

B2

```
z = x * y  
if z < x goto B4
```

B3

```
p = x / y  
q = p + y
```

B4

```
a = q  
b = x + a  
c = 2a - b  
if p == q goto B6
```

B5

```
goto B2
```

B6

```
return
```

Add edges in CFG

There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

B1

```
x = input  
y = x - 1
```

B2

```
z = x * y  
if z < x goto B4
```

B3

```
p = x / y  
q = p + y
```

B4

```
a = q  
b = x + a  
c = 2a - b  
if p == q goto B6
```

B5

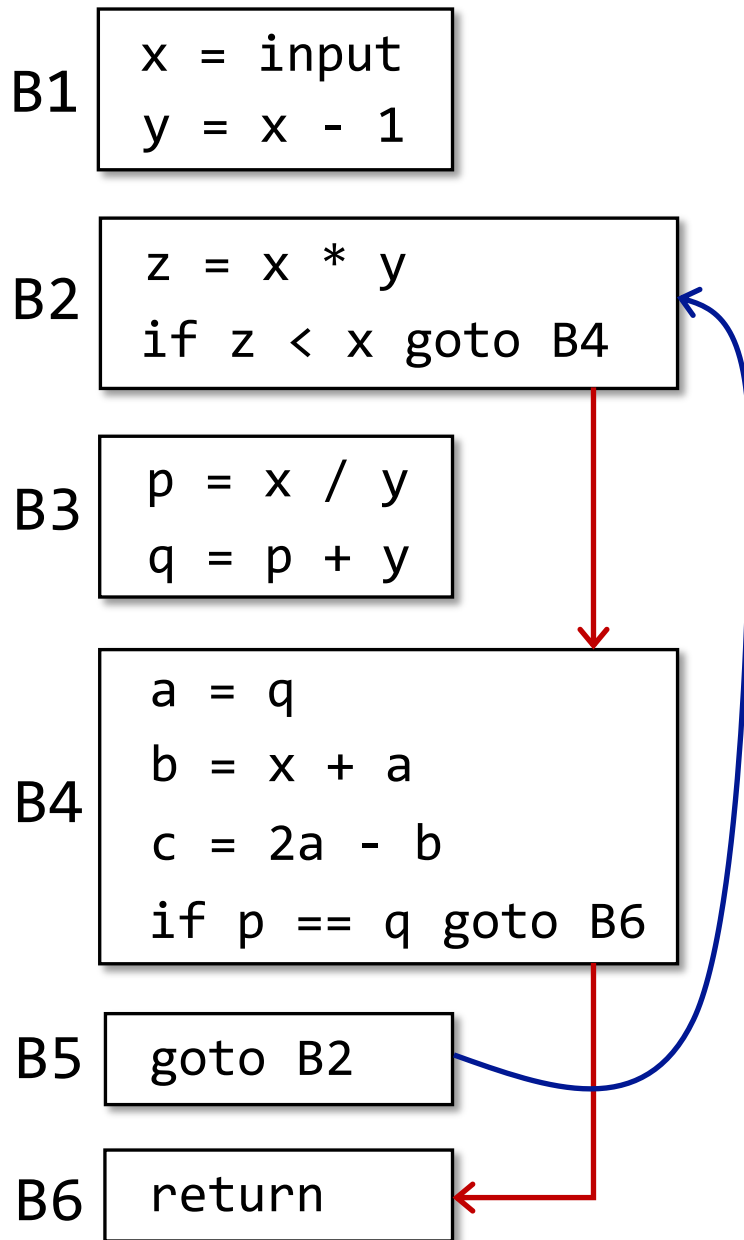
```
goto B2
```

B6

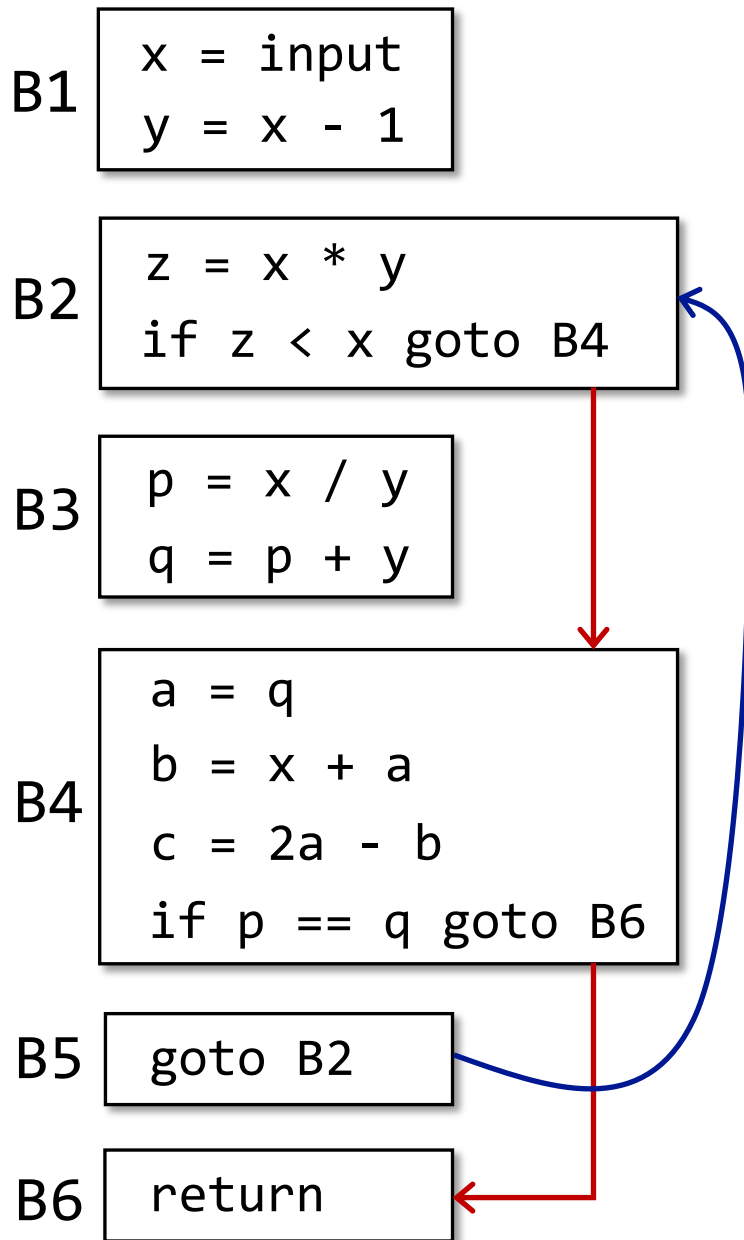
```
return
```

Add edges in CFG

There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**



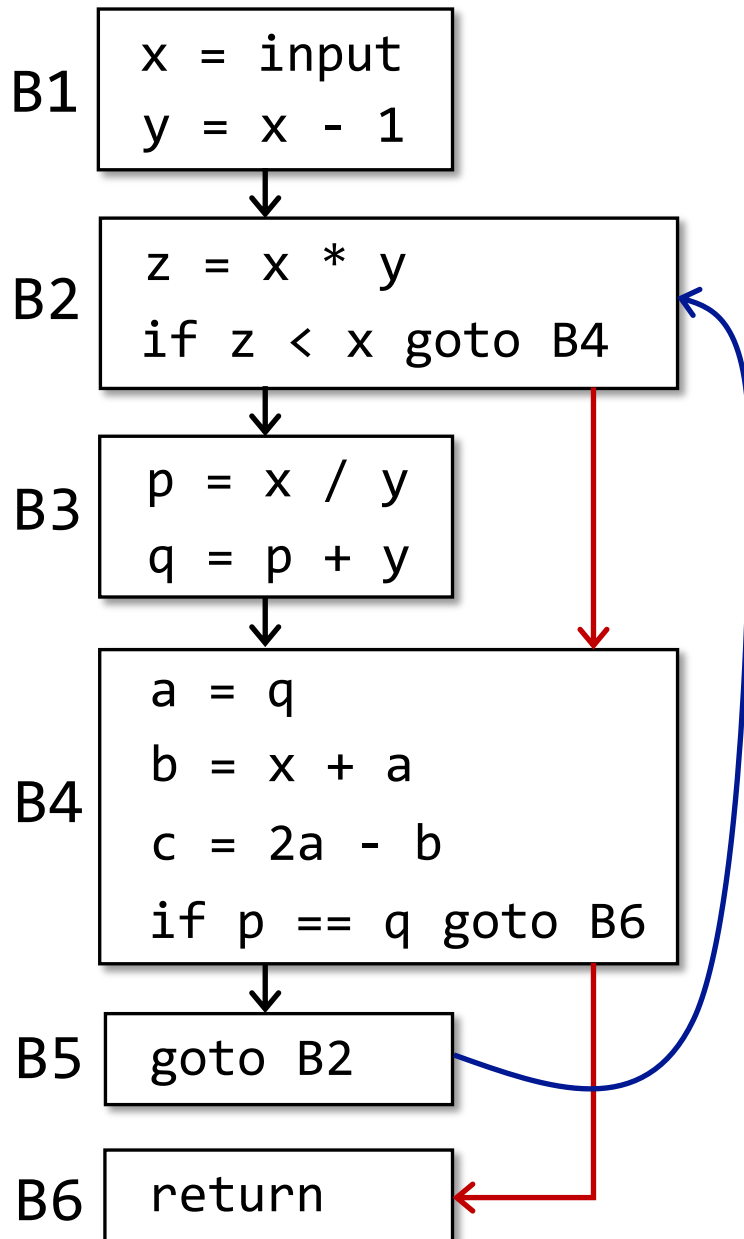
Add edges in CFG



There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

B immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

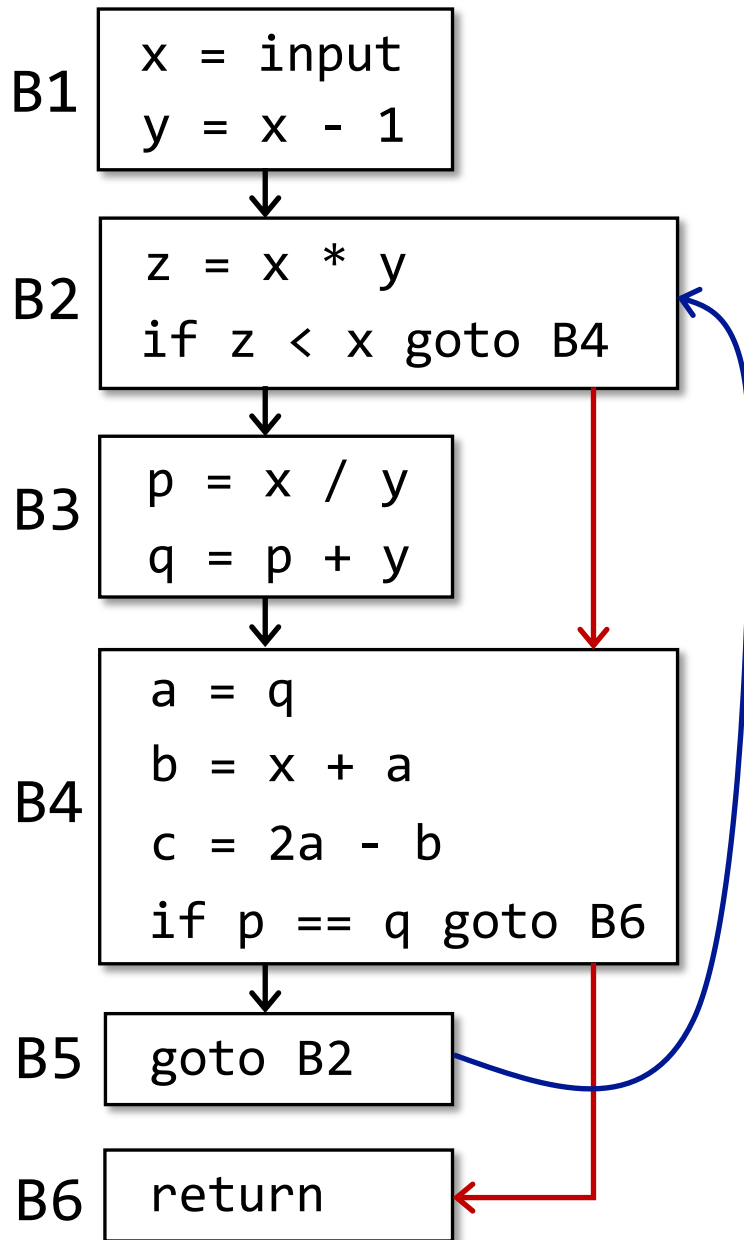
Add edges in CFG



There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

B immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

Add edges in CFG

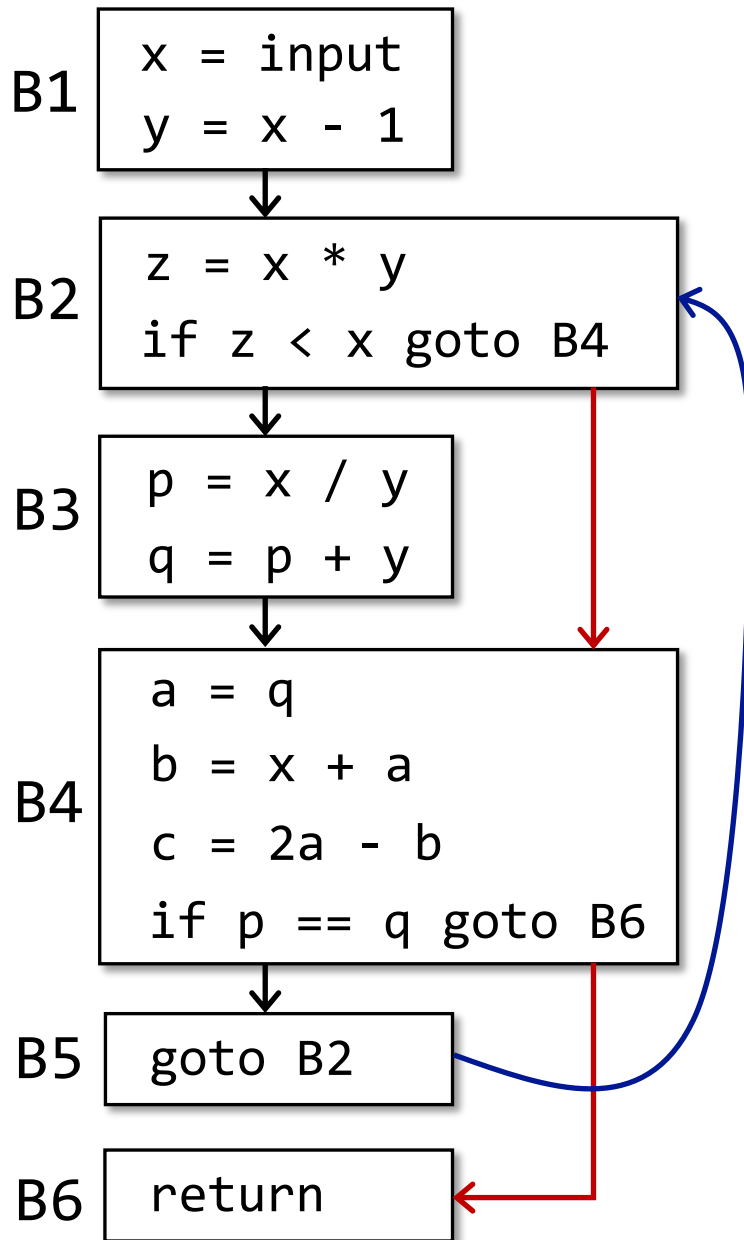


There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

B immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

We say that **A** is a **predecessor** of **B**, and **B** is a **successor** of **A**

Add edges in CFG



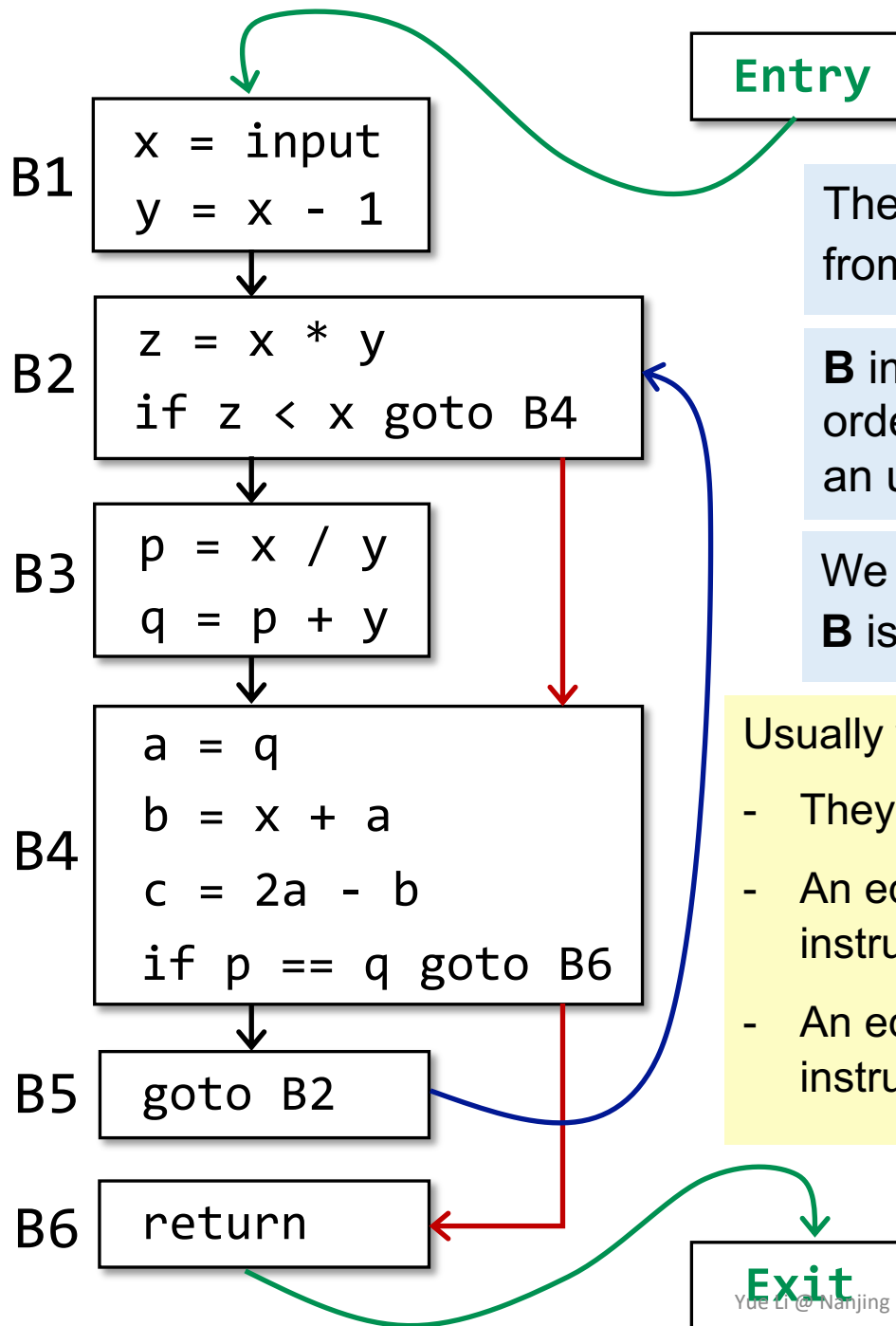
There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

B immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

We say that **A** is a **predecessor** of **B**, and **B** is a **successor** of **A**

Usually we add two nodes, **Entry** and **Exit**.

- They do not correspond to executable IR
- An edge from Entry to the BB containing the first instruction of IR
- An edge to Exit from any BB containing an instruction that could be the last instruction of IR



Add edges in CFG

There is a **conditional** or **unconditional** jump from the end of **A** to the beginning of **B**

B immediately follows **A** in the original order of instructions and **A** does not end in an unconditional jump

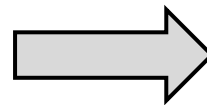
We say that **A** is a **predecessor** of **B**, and **B** is a **successor** of **A**

Usually we add two nodes, **Entry** and **Exit**.

- They do not correspond to executable IR
- An edge from Entry to the BB containing the first instruction of IR
- An edge to Exit from any BB containing an instruction that could be the last instruction of IR

```
(1) x = input
(2) y = x - 1
(3) z = x * y
(4) if z < x goto (7)
(5) p = x / y
(6) q = p + v
(7) a = q
(8) b = x + a
(9) c = 2a - b
(10) if p == q goto (12)
(11) goto (3)
(12) return
```

Input: 3AC of P



B1

```
x = input
y = x - 1
```

B2

```
z = x * y
if z < x goto B4
```

B3

```
p = x / y
q = p + v
```

B4

```
a = q
b = x + a
c = 2a - b
if p == q goto B6
```

B5

```
goto B2
```

B6

```
return
```

Entry

Exit

Output: CFG of P

The background of the slide features a group of anime characters from the series Haikyuu!!, specifically the members of the Karasuno High School volleyball team. They are shown in a dynamic, cheering pose, wearing their team uniforms. The image is faded to serve as a background for the text.

Summary

1. Compilers and Static Analyzers
2. AST vs. IR
3. IR: Three-Address Code (3AC)
4. 3AC in Real Static Analyzer: Soot
5. Static Single Assignment (SSA)
6. Basic Blocks (BB)
7. Control Flow Graphs (CFG)

The X You Need To Understand in This Lecture

- The relation between compilers and static analyzers
- Understand 3AC and its common forms
- How to build basic blocks on top of IR
- How to construct control flow graphs on top of BBs?

注意注意!
划重点了!

